

Finance, AML & Compliance

## PRISM Workshop

### Course Details

#### Price

£215.00

#### Length

Half day (09:00-12:00)

### Course Overview

The course will explain the PRISM approach, along with practical guidance in relation to each of the 11 risks assessed as part of a full risk assessment to enable a business to prepare for a visit by the regulator.

### Course Content

- Risk based supervision
- The PRISM approach
- Analysis of the 11 risks
- PRISM on-site visits
- Setting of risk appetite
- Risk management in relation to PRISM

Flexible learning that works for you

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## Benefits

The Probability Risk and Impact System (PRISM) is the GFSC's risk-based framework for the supervision of regulated firms. This systematic supervision enables the regulator to focus resources where they have assessed there is a higher risk of failure due to financial stability or reputation.

In attending this course you will fully understand how PRISM works, be able to assess the impact on your firm and to identify areas which require action in order to be prepared for a regulatory visit.

This course is aimed at directors, NEDs, compliance officers and all other staff with regulatory responsibilities.

## Next Steps

If you would like to book a place on this course please click on the '**Book Course**' button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via [admin@gta.gg](mailto:admin@gta.gg) or call us on 01481 224570.

If no date is scheduled for this course at the present time please click on the '**Register Interest**' button and login or register for a user account so that we can add you to our course interest register. This register allows us to contact our tutors and finalise dates for a course as soon as we have a few people who have expressed their interest, so the more delegates who register their interest, the sooner we can schedule a particular course.

## Course Tutor

### Sharon Alvarez

Sharon Alvarez is a Director of Resolve Partners Ltd. Prior to setting up Resolve Sharon was a Director of a large Guernsey fund administrator. She held the position of Group Head of Risk and Compliance with responsibility for Guernsey, London, Luxembourg and Jersey compliance, governance and risk management. She is a qualified accountant and Chartered Secretary. Sharon has over 30 years' experience of accounting, administration and compliance/governance including many years at board level on companies in multiple jurisdictions (including regulated General Partner boards). She has significant experience in leading licensed entities through complex and problematic situations including GP/Advisor divorce, mediation, regulatory issues and regulator onsite inspections including PRISM. Sharon enjoys all aspects of compliance, governance, company secretarial and risk management and since setting up Resolve has worked with clients from the fiduciary, fund, banking and insurance sectors.