

Finance, AML & Compliance

Money Laundering Compliance Officer (MLCO) Workshop

Course Details

Price

£215.00

Length

Half day (09:00-13:00)

Course Overview

This course will visit all aspects of a money laundering compliance officer's (MLCO's) role, responsibilities and duties in a financial services business in Guernsey.

The course will examine the AML/CFT (anti money laundering and combating the financing of terrorism) framework in Guernsey and look at the regulatory frameworks in place. It will also look at the role of the MLCO and how to be an effective individual in that role.

Course Content

- What is compliance?
- The role of an MLCO

Flexible learning that works for you

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- Duty, objective and responsibility
- The AML/CFT framework in Guernsey
- The regulatory framework in Guernsey including the requirement to appoint an MLCO
- How to be an effective MLCO
- Compliance monitoring framework
- Interacting with the board
- Board reports and the obligation to report
- Outsourcing the MLCO function
- The compliance culture
- Recent developments
- Case study

Benefits

The course will look at the design and implementation of a compliance monitoring framework, board interaction, how you should report to the board and what information those board reports should contain.

Crucially it will examine how to improve the compliance culture in your organisation and promote a positive attitude towards compliance.

Finally it will cover recent developments in Guernsey with some case studies.

You will leave the course with a renewed enthusiasm for your role, a tool kit of useful information and feeling better equipped to deal with the day to day issues faced by compliance officers. You will also meet other MLCOs and compliance staff and have the chance to chat through any difficult specific cases.

Next Steps

If you would like to book a place on this course please click on the **'Book Course'** button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via admin@gta.gg or call us on 01481 224570.

If no date is scheduled for this course at the present time please click on the **'Register Interest'** button and login or register for a user account so that we can add you to our course interest register. This register allows us to contact our tutors and finalise dates for a course as soon as we have a few people who have expressed their interest, so the more delegates who register their interest, the sooner we can schedule a particular course.

Course Tutor

Emma Mauger

Emma Mauger, TEP,Dip (ITM), FICA, Int.dip (COMP), Int.dip (AML), Int.dip (FinCrime). Emma has over 20 years' experience in the Guernsey financial sector and is one of only a handful of people worldwide to hold all three ICA Diplomas delivered via Manchester University: ICA International Diploma in Compliance, in Financial Crime Prevention and in Anti Money Laundering. In addition Emma is a fully qualified STEP member, a certified GDPR Practitioner and a member of the Institute of Directors.