

Finance, AML & Compliance

ICA Specialist Certificate in Conduct Risk

Course Details

Price

£730.00

Length

Online self-study for 1-2 months. Commence this training at any time.

Course Overview

Discover what good conduct looks like for your firm and your customers.

- Understand good conduct - uncover what good conduct looks like for your firm and what it looks like for customers
- Navigate risks - explore conduct risk in the context of governance, risk and compliance (GRC) frameworks
- Review examples – understand how good conduct can contribute to good customer and market outcomes
- Conduct in practice - get to grips the UK Senior Managers and Certification Regime, GDPR, handling complaints and vulnerable customers



Content

Flexible learning that works for you

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- Development of the conduct agenda
- Core components of conduct risk
- What does good conduct look like for a firm?
- Know your responsibilities and accountabilities
- What does good conduct look like for customers?
- Meeting the customer's objectives – the outcomes-based approach
- The importance of good market conduct
- The impact of poor conduct
- Understanding a conduct framework in practice – the Senior Managers & Certification Regime (UK)
- Ensure effective risk management

Benefits

Raise your professional profile by gaining a life-long qualification awarded in association with Alliance Manchester Business School, the University of Manchester.

Prerequisites

This ICA Specialist Certificate is suitable for New Entrants/Operations, Officers, Specialists, Analysts, Managers and Senior Leaders who work in compliance.

This course is ideal for learners who wish to certify existing knowledge or those looking to increase their professional agility by learning about conduct risk.

The fee for ICA membership will be added separately to your basket when you enrol online for this course.

Assessment

Assessed by a one hour online multiple-choice exam that you can take at any point during your studies.

Next Steps

Whilst the GTA facilitates the training for ICA qualifications, you need to enrol online directly with the ICA at [ICA Specialist Certificate in Conduct Risk | Level 2 Specialist](#). Scroll down when you reach this page and enter Guernsey when it asks 'Where are you based?' You can then follow the process to enrol.

Course Tutor

The International Compliance Association (ICA)

The International Compliance Association (ICA) is the leading professional body for the global regulatory and financial crime compliance community. It is also the provider of internationally recognised, professional, certificated qualifications in anti-money laundering; governance, risk and compliance and financial crime prevention. Being a member of the ICA, a global community, is a mark of prestige and shows that you have reached a standard of excellence in your professional career.