

Finance, AML & Compliance

ICA Specialist Certificate in Conduct Risk

Course Details

Price

£730.00

Length

Online self-study for 1-2 months. Commence this training at any time.

Course Overview

What does good conduct look like for a firm? What does it look like for customers? What are the impacts of poor conduct?

The ICA Specialist Certificate in Conduct Risk gives you the skills and tools to address these issues and will help you put a conduct framework in place.



Course Content

You will study this course in your own time and at your own pace, and you have up to 2 months to complete it.

Flexible learning that works for you

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You will learn:

- Development of the conduct agenda
- Core components of conduct risk
- What does good conduct look like for a firm?
- Know your responsibilities and accountabilities
- What does good conduct look like for customers?
- Meeting the customer's objectives ~ the outcomes-focused approach
- What is 'fair'?
- The importance of good market conduct
- Requirements and why they are there
- Impacts of poor market conduct
- Understanding a conduct framework in practice - the Senior Managers & Certification Regime (UK)

You can request a copy of the full syllabus [here](#).

Benefits

ICA Specialist Certificates are designed for anyone wanting focused yet accessible knowledge in specific areas of risk. They are suitable either for those working in the first and second lines of defence looking to certify existing specialist areas of knowledge, or for those looking to increase their professional agility by learning about new areas of risk.

Next Steps

Whilst the GTA facilitates the training for ICA qualifications, you need to [enrol online](#) directly with the ICA. Scroll down when you reach this page and enter Guernsey when it asks 'Where are you based?' You can then follow the process to enrol.