

Finance, AML & Compliance, Trust

Compliance CPD Series 2026 – Session 3 – Implementing & Evidencing Effective CMPs

Course Details

Price

£45.00

Length

1 hour (12:30-13:30)

Course Overview

The third session of our [Compliance CPD Series 2026 | GTA](#) will explore the essential components of an effective Compliance Monitoring Programme, focusing on how to design, implement and maintain a framework. Delegates will learn how to identify key risks, structure meaningful monitoring activities, document findings and drive remedial action.

The session will also cover reporting expectations and practical steps for ensuring ongoing effectiveness through continuous improvement and governance oversight. To embed learning, the training will also walk through a couple of practical examples that demonstrate how an effective monitoring approach works in practice.

Next Steps

Flexible learning that works for you

E: admin@gta.gg | T: 224570 | W: gta.gg

If you would like to book a place on this course please click on the **'Book Course'** button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via admin@gta.gg or call us on 01481 224570.

Course Tutor

Cathy Lloyd

Cathy Lloyd has been in the Banking industry for over 30 years working in the UK, Jersey and Guernsey in both Sales and Relationship Management roles and Compliance and Financial Crime roles. Cathy also has had responsibility for teams based in Isle of Man, Gibraltar and Luxembourg and has experience of their AML legislation. With substantial knowledge and experience of the regulatory frameworks and legislation in Guernsey and Jersey Cathy can provide a broad range of Compliance, Financial Crime, Governance, Conduct and Data Protection services. Cathy is a Fellow of the ICA (International Compliance Association), a member of the Institute of Directors having completed the certificate in Company Direction and Diploma and is a certified GDPR practitioner through IT Governance. She has held various regulatory roles including Compliance Officer, MLCO and MLRO and also an Approved Person (CF11) with the FCA (Financial Conduct Authority) and is on the Committee for the Guernsey Association of Compliance Officers.