

Finance, AML & Compliance, Trust

Compliance CPD Series 2026 – Session 3 – Implementing & Evidencing Effective CMPs

Course Details

Price

£45.00

Start date

15 April 2026

Length

1 hour (12:30-13:30)

CPD Points

1.00

Course Overview

The third session of our [Compliance CPD Series 2026 | GTA](#) will explore the essential components of an effective Compliance Monitoring Programme, focusing on how to design, implement and maintain a framework. Delegates will learn how to identify key risks, structure meaningful monitoring activities, document findings and drive remedial action.

The session will also cover reporting expectations and practical steps for ensuring ongoing effectiveness through continuous improvement and governance oversight. To embed learning, the training will also walk through a couple of practical examples that demonstrate how an effective monitoring approach works in practice.

Next Steps

Flexible learning that works for you

E: admin@gta.gg | T: 224570 | W: gta.gg

If you would like to book a place on this course please click on the **'Book Course'** button to the right of this page and login or register for a user account to complete your booking(s). Any queries please do not hesitate to contact us via admin@gta.gg or call us on 01481 224570.

Course Tutor

Scott Le Page

Over the past 20 years, Scott has developed extensive expertise encompassing Share Dealing, Fund Accounting, Customer Due Diligence, Custodial Oversight/Compliance, Operational Assurance, Outsourcing and Compliance. His diverse background provides a comprehensive understanding of Financial Services, enabling risk identification and mitigation whilst ensuring operational efficiency and compliance. He has bolstered his practical experience with several qualifications from the International Compliance Association, enhancing his compliance knowledge so as to act as Money Laundering Reporting Officer, Money Laundering Compliance Officer and Compliance Officer for multiple Licensees.